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September 17, 2021  
*VIA ECF & FACSIMILE*

The Honorable Alvin K. Hellerstein  
United States District Judge  
United States Courthouse  
500 Pearl Street  
New York, NY 10007

The oral argument, currently scheduled for 9/23/21 is hereby adjourned until October 14, 2021 at 2:30 pm.

So ordered,  
/s/ Alvin K. Hellerstein  
Alvin K. Hellerstein  
9/20/21

**Re: SEC v. Global Investment Strategy UK Ltd. and John W. Gunn  
20-cv-10838 (AKH)**

Dear Judge Hellerstein:

We represent Defendants Global Investment Strategy UK Ltd. and John W. Gunn (“Defendants”) in the above-referenced matter. In accordance with Rule 1.D. of Your Honor’s Individual Rules, we write to request an adjournment of the oral argument to address Defendants’ motion to dismiss the complaint, which is currently scheduled to commence on Thursday, September 23, 2021 at 2:30 p.m. (Dkt. 28). The parties jointly request a three-week adjournment in order to continue settlement discussions.

This is the parties’ first request for an adjournment of the oral argument date. The parties requested and the Court granted three previous extensions of time for Defendants to file an answer or move to dismiss. Plaintiff SEC’s counsel, David Stoelting, has consented to this request.

Respectfully submitted,

**DLA PIPER LLP (US)**

A handwritten signature in blue ink, appearing to be 'Caryn G. Schechtman', written over a faint circular stamp.

Caryn G. Schechtman

cc: *Via ECF*  
David Stoelting (counsel for SEC)